# Regularity method for sparse graphs and its applications

Yufei Zhao (MIT)

Joint work with

David Conlon (Caltech) Jacob Fox (Stanford) Benny Sudakov (ETH Zurich)

arXiv:2004.10180

# Szemerédi's regularity method

A powerful rough structural description of all large graphs

**Graph regularity lemma (Szemerédi '70s).** For every  $\varepsilon > 0$ , every graph has an  $\varepsilon$ -regular vertex partition into  $\leq M(\varepsilon)$  parts.



Many important applications:

- Extremal graph theory
- Additive combinatorics

 $(U,W) \text{ is } \varepsilon\text{-regular if}$  $\forall A \subset U, |A| \ge \varepsilon |U|$  $\forall B \subset W, |B| \ge \varepsilon |W|$  $|d(A,B) - d(U,W)| \le \varepsilon$ 

An equitable vertex partition is  $\varepsilon$ -regular if at all but  $\leq \varepsilon$ -fraction of pairs of vertex sets are  $\varepsilon$ -regular



# Graph removal lemmas

Graphs with "few" triangles can be made triangle-free by deleting "few" edges

**Triangle removal lemma (Ruzsa–Szemerédi '76).** Every *n*-vertex graph with  $o(n^3)$  triangles can be made triangle-free by removing  $o(n^2)$  edges

**Graph removal lemma.** Fix a graph *H*. Every *n*-vertex graph with  $o(n^{\nu(H)})$  triangles can be made *H*-free by removing  $o(n^2)$  edges

Applications:

- Extremal combinatorics/graph theory
- Property testing
- Additive combinatorics

#### Preview: sparse removal lemmas

*n* vertices, edge density on the order of p = p(n) = o(1)

"Sparse graph removal lemma". Fix a graph H. [Additional hypotheses] An *n*-vertex graph with with  $o(p^{e(H)}n^{v(H)})$  copies of H can be made H-free by removing  $o(pn^2)$  edges.

Restricting to C<sub>4</sub>-free graphs: edge-density  $\leq p \coloneqq 1/\sqrt{n}$  by Kővári–Sós–Turán

A new sparse { $C_3$ ,  $C_5$ }-removal lemma (Conlon, Fox, Sudakov, Z.). Every *n*-vertex  $C_4$ -free graph with  $o(p^5n^5) = o(n^{5/2}) C_5$ 's can be made  $C_5$ -free and  $C_3$ -free by removing  $o(pn^2) = o(n^{3/2})$  edges.

# Avoiding equations

i.e., avoiding x + y = 2z

**Roth's theorem ('53).** Every subset of  $[N] = \{1, 2, ..., N\}$  without a 3-term arithmetic progression (3-AP) has size o(N).

#### Proof (Ruzsa–Szemerédi '76).

Given a 3-AP-free set *S*, set up a Cayley-like graph where every edge lies in exactly one triangle.

Apply triangle removal lemma on this graph to deduce that it has  $o(N^2)$  edges.

Since #edges  $\approx N|S|$ , conclude |S| = o(N)



#### Preview: equation-avoidance in Sidon sets

A Sidon set is a set of integers avoiding nontrivial solutions to

$$x + y = z + w$$

Max size of a Sidon subset of [N] is  $\sim \sqrt{N}$ 

**Question:** What can we say about Sidon sets of nearly maximum size?



#### What are dense Sidon subsets of {1,2,...,n} like?

The short answer if you don't feel like reading a post with some actual mathematics in it is that I don't know.

Ideally a description similar to Freiman's theorem, but seems a bit hopeless

We give a weak answer: a Sidon set of size  $\geq c\sqrt{N}$  contains solutions to every 5-variable translation-invariant linear equations with integer coefficients.

**Theorem (Conlon, Fox, Sudakov, Z.).** Every Sidon subset of [N] avoiding nontrivial solutions to  $x_1 + x_2 + x_3 + x_4 = 4x_5$  has size  $o(\sqrt{N})$ .

# Sparse regularity

- Szemerédi's regularity lemma, in its original form, is useless for sparse graphs, i.e., with edge-density *o*(1)
- Sparse regularity: error tolerance commensurate with edge-density
- Obtaining a regularity partition for sparse graphs
  - Kohayakawa, Rödl ('90s): under additional hypothesis of "no dense spots"
  - Scott ('11): no additional hypothesis on the graph, but possibly hiding most of the graph in irregular pairs
- Applications? Counting lemma?

### What is a counting lemma?

Given three "regular pairs" from the regularity partition, we want:

triangle density ≈ product of edge densities

We call such a statement a triangle counting lemma

True for dense graphs

Serious challenges for sparser graphs (false without addl. hyp.)





# Failures of counting lemmas in sparse graphs

Examples of random-like graphs without random-like triangle-counts

- G(n, p) minus all triangles when  $p = o(1/\sqrt{n})$  so that  $p^3n^3 = o(pn^2)$
- Alon's pseudorandom triangle-free graph





• (with Ashwin Sah, Mehtaab Sawhney, and Jonathan Tidor arXiv: 2003.05272)

Recent counterexample to Bollobás–Riordan conjectures on sparse graph limits, showing a strong failure of Chung—Graham—Wilson for sparse graph sequences: n vertices, edge density  $n^{-o(1)}$ , and normalized H-density  $\rightarrow \exp(-\#\Delta's \text{ of } H)$ 

( $C_4$ -pseudorandom  $\Rightarrow C_3$ -pseudorandom)

### Sparse regularity applications

**Green–Tao theorem ('08).** The primes contain arbitrarily long APs.

"Relative Szemerédi theorem." Fix k. Suppose  $S \subset \mathbb{Z}/N\mathbb{Z}$  satisfies some pseudorandomness hypotheses. Then every k-AP-free subset of S has size o(|S|).

Significantly simplified in [Conlon, Fox, Z. '15] via a new counting lemma Additional hypothesis in this sparse counting lemma: *G* is contained in some *pseudorandom host* 

### Removal lemmas

**Triangle removal lemma** (Ruzsa–Szemerédi '76). Every *n*-vertex graph with  $o(n^3)$  triangles can be made triangle-free by removing  $o(n^2)$  edges

 $p \coloneqq 1/\sqrt{n}$ 

A new sparse {C<sub>3</sub>, C<sub>5</sub>}-removal lemma (Conlon, Fox, Sudakov, Z.). Every *n*-vertex graph with

- $\frac{10 C_4}{10 C_4} o(p^4 n^4) = o(n^2) C_4's$
- &  $o(p^5n^5) = o(n^{5/2}) C_5's$

can be made  $C_5$ -free and  $C_3$ -free by removing  $o(pn^2) = o(n^{3/2})$  edges.

**Corollary.** An *n*-vertex  $C_5$ -free graph can be made triangle-free by deleting  $o(n^{3/2})$  edges.

with  $o(n^2) C_5$ 's

 $o(n^2)$  cannot be replaced by  $o(n^{2.442})$ but we don't know the optimal exponent

# Extremal results in hypergraphs

In a hypergraph a Berge cycle of length k consists of

- *k* distinct vertices *v*<sub>1</sub>, ..., *v*<sub>k</sub>
- *k* distinct edges *e*<sub>1</sub>, ..., *e*<sub>*k*</sub>
- $v_i, v_{i+1} \in e_i \forall i \text{ (indices mod } k)$



**Question.** Max # edges in *n*-vertex 3-graph with no Berge cycle of length  $\leq 5$ ? Previously:  $O(n^{3/2})$  [Lazebnik, Verstraëte '03] [Ergemlidze, Methuku '18+] **Corollary of new result**:  $O(n^{3/2})$  (also same answer for *r*-graphs for all  $r \geq 3$ ) Also: # *n*-vertex 3-graphs with no Berge cycle of length  $\leq 5$  is  $2^{O(n^{3/2})}$ 

#### Brown—Erdős—Sós type problems

BES(n, e, v) = max # triples in an n-vertex 3-graph without e edges spanning  $\leq v$  vertices?

**Ruzsa—Szemerédi theorem:**  $BES(n, 6, 3) = o(n^2)$ 

**BES conjecture:**  $BES(n,7,4) = o(n^2)$ ,  $BES(n, 8,5) = o(n^2)$ , ...

Corollary of new result: BES(10, 5) =  $o(n^{3/2})$ 

#### Avoiding solutions to equations

**Roth's theorem** ('53). Every subset of  $[N] = \{1, 2, ..., N\}$  without a 3-AP has size o(N).

**Theorem (CFSZ).** Every subset of [N] without a nontrivial solution to  $x_1 + x_2 + 2x_3 = x_4 + 3x_5$ 

has size  $o(\sqrt{N})$ . Here trivial solutions are ones of the form (x,y,y,x,y) or (y,x,y,x,y)

Avoid this 5-var eqn  $\Rightarrow$  avoid  $x_1 + x_2 = x_4 + x_5$ , i.e., a Sidon set, thus  $O(\sqrt{N})$  size

**Theorem (CFSZ).** The maximum size of a Sidon subset of [N] without a solution in distinct variables to the equation

$$x_1 + x_2 + x_3 + x_4 = 4x_5$$

is at most  $o(\sqrt{N})$  and at least  $N^{1/2-o(1)}$ .

#### Erdős–Simonovits compactness conjecture

Excluding a finite set of graphs ≈ excluding the worst one

**Conjecture.** Given graphs  $F_1, ..., F_k$ ,  $\exists i, c > 0$ : max # edges in an *n*-vertex graph avoiding all  $F_1, ..., F_k$  $\geq c \cdot \max$  # edges in an *n*-vertex graph avoiding  $F_i$ 

False for hypergraphs (due to Ruzsa–Szemerédi 6,3-theorem)

The equation-avoidance analog is false too! For subset of [N]

- Largest subset avoiding  $x_1 + x_2 = x_3 + x_4$  has size  $\sim \sqrt{N}$  (Sidon sets)
- Largest subset avoiding  $x_1 + x_2 + x_3 + x_4 = 4x_5$  has size  $N^{1-o(1)}$  (Behrend)

But! Avoiding both equations simultaneously  $\Rightarrow$  size =  $o(\sqrt{N})$ 

# Regularity recipe

- 1. Partition the vertex set using (sparse) regularity lemma
- 2. Clean up the graph
  - Remove edges from irregular pairs and very sparse pairs
  - (Only for sparse regularity) Remove edges from extra dense pairs
- **3.** Count subgraphs

Removing dense spots:

If  $o(n^2) C_4$ 's, then  $o(n^{3/2})$  edges lie between too-dense parts.



# $C_5$ counting lemma

A **counting lemma** compares subgraph densities between two (weighted) graphs that are close in cut norm

#### $C_5$ -counting lemma in graphs with not too many $C_4$ 's.

- G : 5-partite sparse graph with edge-density p
  - has  $O(p^4n^4) C_4$ 's between adjacent parts
- G': is has edge-weights in [0, Cp]
- If G and G' close in cut norm, then

 $C_5$ -density in  $G > C_5$ -density in  $G' - o(p^5)$ 



# Being $C_4$ -free helps counting $C_5$

A toy case: all vertex-degrees equal, and all bipartite graphs pseudorandom Second neighborhood expands to linear size,

thereby giving lots of C<sub>5</sub>'s

In general, analytic argument: replace two adjacent sparse pairs by a single "dense" pair









### Proof of sparse removal lemma

Sparse {C<sub>3</sub>, C<sub>5</sub>}-removal lemma (CFSZ). Every *n*-vertex graph with

- $o(n^2) C_4$ 's and
- $o(n^{5/2}) C_5's$

can be made  $C_5$ -free and  $C_3$ -free by removing  $o(n^{3/2})$  edges.

- **1.** Partition. Apply regularity partition to approximate *G* by a weighted graph *G*'
- 2. Clean. Remove  $o(n^{3/2})$  edges from irregular, too-sparse, or too-dense pairs in G
- **3.** Count. If any  $C_3$  or  $C_5$  remain in *G*, then can find  $C_5$  in *G*'. Apply counting lemma to deduce that *G* has lots of  $C_5$ 's

#### Proof of equation-avoidance in Sidon sets

**Theorem (CFSZ).** If  $A \subset [N]$  is a Sidon set without nontrivial solution to  $x_1 + x_2 + x_3 + x_4 = 4x_5$ , then  $|A| = o(\sqrt{N})$ .

Set up a 5-partite graph Avoiding  $x_1 + x_2 + x_3 + x_4 = 4x_5$   $\Rightarrow$  every edge lies in exactly one  $C_5$ Sidon  $\Rightarrow C_4$ -free between parts Sparse  $C_5$ -removal lemma  $\Rightarrow o(N^{3/2})$  edges  $\Rightarrow |A| = o(\sqrt{N})$ 



# Sparse regularity and applications

- New  $C_5$ -counting lemma in sparse graphs with not too many  $C_4$ 's
- Sparse removal lemmas. Every *n*-vertex graph with  $o(n^2) C_4$ 's and  $o(n^{5/2}) C_5$ 's can be made  $C_5$ -free and  $C_3$ -free by removing  $o(n^{3/2})$  edges.
- An *n*-vertex  $C_5$ -free graph can be made triangle-free by deleting  $o(n^{3/2})$  edges.
  - Applications to extremal problems on hypergraphs
- A Sidon subset of [N] avoiding solutions to a fixed 5-variable translation-invariant equation has size  $o(\sqrt{N})$